1 Integrated Single-Cell Analysis of Multicellular Immune Dynamics

2 during Hyper-Acute HIV-1 Infection

- 3 Samuel W. Kazer^{1,2,3}, Toby P. Aicher^{1,2,3}, Daniel M. Muema^{4,5}, Shaina L. Carroll⁶, Jose Ordovas-
- 4 Montanes^{1,2,3,7}, Carly G. K. Ziegler^{1,2,3,8}, Sarah K. Nyguist^{1,2,3,9}, Emily B. Wong^{4,10}, Nasreen Ismail⁵,
- 5 Mary Dong¹, Amber Moodley^{1,5}, Krista L. Dong^{3,5}, Zaza M. Ndhlovu^{1,4,5,11}, Thumbi Ndung'u^{1,4,5,12},
- 6 Bruce D. Walker^{1,5,11,†,*}, and Alex K. Shalek^{1,2,3,8,9,†,*}

7 Affiliations

- 8 ¹Ragon Institute of MGH, MIT, and Harvard, Cambridge, MA, USA
- 9 ²Institute for Medical Engineering and Science (IMES), Department of Chemistry, and Koch
- 10 Institute for Integrative Cancer Research, Massachusetts Institute of Technology, Cambridge,
- 11 MA, USA
- 12 ³Broad Institute of MIT and Harvard, Cambridge, MA, USA
- 13 ⁴African Health Research Institute, Durban, South Africa
- ⁵HIV Pathogenesis Programme, Nelson R. Mandela School of Medicine, Doris Duke Medical
- 15 Research Institute, University of KwaZulu-Natal, Durban, South Africa
- ⁶Department of Molecular and Cell Biology, University of California, Berkeley, CA, USA
- ⁷Division of Infectious Diseases and Division of Gastroenterology, Boston Children's Hospital,
- 18 Boston, MA, USA
- ¹⁹⁸Division of Health Sciences and Technology, Harvard Medical School, Boston, MA, USA
- 20 ⁹Program in Computational and Systems Biology, Massachusetts Institute of Technology,
- 21 Cambridge, MA, USA
- ¹⁰Division of Infectious Diseases, Massachusetts General Hospital, Boston, MA, USA; Harvard
- 23 Medical School, Boston, MA, USA; Division of Infection and Immunity, University College London,
- 24 London, UK
- 25 ¹¹Howard Hughes Medical Institute, Chevy Chase, MD, USA
- 26 ¹²Max Planck Institute for Infection Biology, Berlin, Germany
- ¹These authors contributed equally to this work.
- 28 *Corresponding Author Emails: shalek@mit.edu (A.K.S.); BWALKER@mgh.harvard.edu (B.D.W)

30 ABSTRACT

31 Cellular immunity is critical for controlling intracellular pathogens, but the dynamics and 32 cooperativity of the evolving host response to infection are not well defined. Here, we apply single-33 cell RNA-sequencing to longitudinally profile pre- and immediately post-HIV infection peripheral 34 immune responses of multiple cell types in four untreated individuals. Onset of viremia induces a 35 strong transcriptional interferon response integrated across most cell types, with subsequent pro-36 inflammatory T cell differentiation, monocyte MHC-II upregulation, and cytolytic killing. With 37 longitudinal sampling, we nominate key intra- and extracellular drivers that induce these 38 programs, and assign their multi-cellular targets, temporal ordering, and duration in acute 39 infection. Two individuals studied developed spontaneous viral control, associated with initial 40 elevated frequencies of proliferating cytotoxic cells, inclusive of a previously unappreciated 41 proliferating natural killer (NK) cell subset. Our study presents a unified framework for 42 characterizing immune evolution during a persistent human viral infection at single-cell resolution, 43 and highlights programs that may drive response coordination and influence clinical trajectory.

44

45 Introduction

46 Understanding the dynamics of host-pathogen interactions during acute viral infection in 47 humans has been hindered by limited sample availability and technical complications associated 48 with comprehensively profiling heterogeneous cellular ensembles. To date, microarray and bulk 49 transcriptomic studies of yellow fever vaccination¹ and influenza infection² have highlighted 50 complex cellular responses that vary as a function of time, largely characterizing a common 51 systemic interferon stimulated gene (ISG) program. In each instance, additional insights might be 52 gleaned through more sensitive, discretized systems-approaches that can elucidate the 53 contributions of individual cellular components and nominate features that drive productive 54 responses essential to improve vaccines.

55 Recently, high-throughput single-cell RNA-sequencing (scRNA-Seq) has emerged as a 56 powerful tool to characterize, transcriptome-wide, complex human systems in health and disease at single-cell resolution³⁻⁹. When applied to a collection of samples across a disease setting, this 57 58 approach provides a platform for investigating cell types, states, interactions, and drivers 59 associated with that disease; this information can be used to develop testable hypotheses on therapeutic modulations that may ameliorate disease state^{7,8}. Meanwhile, within an individual, 60 61 longitudinal sampling provides an opportunity to decipher, at unprecedented resolution and 62 absent potentially confounding inter-individual variability⁷, shifts in these same variables, and to

associate observed changes with internal or external perturbations¹⁰⁻¹². Such sampling of a host's
 exposure to a pathogen could provide foundational insights into essential cellular response
 features and their coordination, empowering the rational design of improved prophylactic
 interventions.

67 Illustratively, a better understanding of the interplay between innate and adaptive immune responses at the very earliest stages of a viral infection, and its impact on long-term disease. 68 69 could reveal principles to accelerate prevention efforts. Human Immunodeficiency Virus (HIV) has 70 been the subject of thorough study, and thus is a well-considered model system for examining host responses to a pathogen. Moreover, although the development of antiretroviral therapy 71 (ART)¹³, as well as implementation of pre-exposure prophylaxis (PrEP)¹⁴ and combination 72 prevention efforts, has improved the lives of persons living with HIV, increased life expectancies, 73 74 and reduced the number of new infections, there were still 2 million new cases of HIV-1 infection in 2017¹⁵. This highlights a pressing need for effective HIV vaccines informed by an understanding 75 76 of natural host-pathogen dynamics.

77 Here, we apply scRNA-Seq to perform an integrated longitudinal analysis of implicated 78 cell programs and drivers during the critical earliest stages of HIV infection. By examining individuals in the Females Rising through Education, Support and Health (FRESH) study^{16,17} – a 79 80 unique prospective cohort of uninfected young women at high risk of contracting HIV who are 81 monitored for acute viremia by twice weekly plasma sampling - and focusing on those who were 82 enrolled at a time when standard of care did not include treatment during acute disease, we 83 comprehensively examine untreated cellular immune dynamics during the evolution of hyper-84 acute infection into chronic viremia. Among over 65,000 cells obtained from repeated sampling of 85 peripheral blood, we identify cell types, states, gene modules, and molecular drivers associated 86 with coordinated immune responses to a viral pathogen. Further, these data suggest candidate 87 cellular features that may influence the magnitude of chronic viremia, known to predict long-term 88 infection outcome. Overall, our longitudinal, granular approach captures multiple dynamic and 89 coordinated immune responses - both shared and distinct between cell types and individuals -90 and provides a framework for their elucidation in health and disease.

91

92 Results

4 Subsets in hyper-acute infection
 9 Longitudinal single-cell transcriptomic profiling captures major and granular immune
 9 subsets in hyper-acute infection

95 In order to globally and longitudinally examine host immune responses during a hyper-96 acute infection, we performed scRNA-Seg on peripheral blood mononuclear cells (PBMCs) from 97 four individuals enrolled in FRESH who became infected with HIV, assessing multiple timepoints 98 from pre-infection through one year following initial detection of viremia (Fig. 1A, table S1). In our 99 study, hyper-acute infection refers to timepoints at and prior to peak-viral load, whereas acute 100 infection refers to timepoints after peak viral load but before 6 months. Samples were processed 101 in duplicate using Seq-Well¹⁸ – a portable, low-input massively-parallel scRNA-Seq platform 102 designed for clinical specimens – allowing for robust single-cell transcriptional analysis of PBMC 103 subsets. All individuals studied demonstrated the expected rapid rise in plasma viremia and drop 104 in CD4+ T cell counts that typify hyper-acute and acute HIV infection (Fig. 1B). Among all 105 individuals, we captured 65,842 cells after eliminating low quality cells and multiplets (see 106 Methods), with an average of 2,195 cells per individual per timepoint. Alignment to a combined 107 human and HIV genome at peak infection timepoints yielded few reads mapping to HIV; therefore, 108 alignment for all samples was conducted using a human-only reference.

109 To assign cellular identity, we performed variable gene selection, dimensionality reduction, 110 clustering, and embedding en masse across data collected from all individuals and timepoints 111 (see Methods). Samples were combined for cell type/phenotype identification to find common 112 transcriptional features of ubiquitous cell subsets, and to improve statistical power on classifying 113 small/rare cell types. Importantly, combined analyses yielded few individual-specific features in 114 the resulting clustering and embedding, suggesting that disease biology, rather than technical 115 batch, is the main driver of variation and subsequent clustering (Fig. 1D, Fig. S1A,B). We 116 annotated identified clusters by comparing differentially expressed (DE) genes that defined each 117 to known lineage markers and previously published scRNA-Seg datasets¹⁹⁻²¹ (Fig. S1C, see 118 Table S2 for list of DE markers). These clusters recapitulated several well-annotated PBMC 119 subsets (Fig. 1C), in addition to revealing phenotypic groupings of monocytes (anti-viral, 120 inflammatory, non-classical) and cytotoxic T cells (CD8+ CTL, proliferating; see Fig. S1D). Thus, 121 we readily and reproducibly mapped the cellular players and phenotypes present along the course 122 of disease progression.

123

124 Cell frequency over time is readily obtained from transcript-assigned cellular identity

We next examined cellular dynamics over the course of infection, beginning with a preinfection time point. Onset of HIV infection is typically accompanied by an initial depletion of CD4⁺ T cells in the blood and a subsequent small rebound before continued depletion in chronic 128 infection²². To ensure that our estimated frequencies would recapitulate conventional 129 measurements of our samples, in parallel, we employed flow cytometry to independently establish 130 the frequencies of T cell subsets (Fig. S2A). Linear regression of the measured CD3⁺CD4⁺ and 131 CD3⁺CD8⁺ flow populations (% of total CD45⁺ cells) with their respective single-cell transcriptome 132 clusters (% of total single cells) across time yielded strong correlations (linear regression, F-test): average $CD4^+ - R^2 = 0.491$, p = 0.0416; average $CD8^+ - R^2 = 0.665$, p = 0.00158 (Fig. 1E). 133 134 Subsequently, we calculated frequencies for the other cell types in our scRNA-Seq data as a 135 function of time (Fig. S2B). In each individual, we measured an expansion in monocytes at HIV 136 detection and in NK cells that peaked at 3- or 4-weeks post-detection, in-line with studies of 137 influenza and murine cytomegalovirus (MCMV) demonstrating expansion and recruitment of monocytes and NK cells to sites of infection, though on shorter time-scales^{23–25}. Altogether, our 138 139 data elucidate dynamic temporal shifts in the abundance of different cellular subsets during hyper-140 acute and acute HIV infection aligned with flow cytometry; more importantly, with whole 141 transcriptome information, they enable further global characterization of subcellular activity within 142 and between these subsets.

143

144 Discovering structured variation in cell phenotypes over time in response to infection

145 To understand how the identified cell types - monocytes, dendritic cells (DCs), 146 plasmablasts, B cells, natural killer (NK) cells, CD4⁺ T cells, CD8⁺ T cells, and proliferating T cells 147 (a sub-cluster of CTLs, see Fig S1D) – varied in phenotype over the course of infection, we 148 assessed coordinated changes in gene expression within each cell type that significantly varied 149 in time. Since the immune responses and time courses of infection were heterogeneous among 150 participants due to our sampling scheme and natural human variability, we performed analyses 151 on an individual-by-individual and cell-type-by-cell-type basis. In this way, our results are sensitive 152 to both intra- and inter-individual changes in gene expression.

To identify tightly co-regulated modules (M) of genes for each type for each individual, we applied weighted gene correlation network analysis (WGCNA)^{26,27} on all cells classified as a particular cell type across all timepoints (Fig. 2A; see Methods for details). Strongly correlated gene modules (permutation test for within-module similarity, FDR corrected q < 0.05) were then tested for significant variation over time by scoring cells at each timepoint against the genes within a module, followed by tests for shifts in score distribution between pairs of timepoints (Wilcoxon rank sum test, FDR corrected q < 0.05). This generated 0-8 temporal modules per cell type (for a list of all significant modules see Table S3 for gene membership and Table S4 for median modulescores over time).

162 Across cell types within an individual, these gene program trajectories demonstrated 163 common transient patterns along the course of infection, indicating the utility of this approach in 164 identifying groups of genes acting in concert. While a similar approach is possible using bulk RNA-165 seq data, here, we are powered to identify temporally similar modules active in distinct subsets of 166 cells both within and across time. Compared to a directed approach, this discovery-based 167 identification of temporally-variant modules enables unbiased selection of coordinated genes and 168 pathways, and immediately reveals differences in response dynamics among cell types, states, 169 and individuals.

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171 Temporal module analysis reveals shared and unique responses to interferon across cell 172 subsets near peak viremia

173 With distinct, temporally-variant modules across all cell types and individuals in hand, we 174 next sought to understand these response modules and their association with plasma viral load, 175 the main clinical parameter linked with disease progression rate and clinical outcome^{28,29}. 176 Beginning with one individual (P1), we identified a set of 6 significant gene modules spanning 177 multiple cell types that all shared their highest relative module score at the peak viremia timepoint 178 (Fig. 2B). Upon inspection of the genes within each, we uncovered a core set of genes shared 179 among the modules from all cell types: IFI27, IFI44L, IFI6, IFIT3, ISG15, and XAF1. These genes, 180 in addition to many others belonging to one or multiple of these peak viral load modules, are all 181 induced by type I interferon (IFN-I) stimulation in cell lines and *ex-vivo* primary cells³⁰⁻³² (Fig. 2C, 182 Fig. S3A). Since these modules were generated *de-novo*, our results also report cell type specific 183 genes and functions that correlate with the core measured IFN response signature: anti-viral activity (CXCL10, DEFB1, IFI27L1) in monocytes^{33,34}, DC activation (PARP9, STAT1) likely 184 through sensing of HIV by pattern recognition receptors and interferon by interferon receptors ^{35–} 185 186 ³⁷, differentiation of naïve CD4+ T cells (CD52, TIGIT, TRAC) potentially into HIV-specific T helper cells^{38–41}, and NK cell trafficking (CX3CR1, ICAM2) shown to occur in other viral infections^{42–44}. 187

As transcriptional work in humans has been limited to late-acute stage and treated infection⁴⁵, we sought to contextualize our data against the massive IFN response measured in acute SIV infection^{46–49}, specifically in rhesus macaques (RM, see Fig. S3B)⁴⁷. In SIV models, natural hosts of the infecting virus (e.g., sooty mangabeys) resolve IFN immune activation more quickly than susceptible hosts, positing that time to resolution may reflect future control in chronic infection (>180 days). By comparison, we find that many IFN stimulated genes induced in RM for 2+ weeks arise and resolve within one week (i.e., upregulate at one timepoint). Here, we are powered to assign the cells expressing these various response genes. For example, upregulation of RIG-I (*DDX58*) is limited to myeloid cells – though RIG-I signaling has been shown to be subverted by HIV⁵⁰ – whereas only CD4⁺ T cells exhibit higher levels of *STAT2*, suggesting a polarization towards a T_H1 phenotype⁵¹.

199 Subsequently, we examined the expression of IRF7, one of the interferon regulatory factors that is responsible for anti-viral mediated IFN-I production in SIV/HIV^{52,53} and other viral 200 infections, to determine which cells might be generating this pervasive wave of IFN. In individual 201 202 P1, almost all cell types demonstrated higher expression of IRF7 compared to pre-infection and 203 1-year timepoints (Fig. S3C), highlighting the pervasiveness of IFN-I in response to high levels of 204 viremia and potentially indicative of the positive feedback loop it induces^{54–56}. Since plasmacytoid 205 DCs (pDCs) are known to produce IFN- α and IFN- β in response to HIV⁵⁷, we also assayed single 206 pDCs at peak viremia and 1-year post-infection using a plate-based scRNA-Seq method 207 compatible with enrichment by FACS (Smart-Seg2⁵⁸) (Fig. S4A). At both times, type I IFNs were 208 undetectable (see Supplementary Text). Comparing pDCs between them, we observe modestly 209 increased expression of *IRF7* at peak viremia (Wilcoxon rank sum test, FDR corrected q < 1, 210 log(Fold Change) = 0.42). However, these cells also upregulated several ISGs that were present 211 in the modules of other cell types (Fig. S4B).

212 We next sought to identify whether similar gene expression programs typified responses 213 in the other three individuals assayed. We readily discovered a similar set of modules around the 214 time of peak viremia in each individual (Fig. 2D and Fig. S3D), as well as shared responses among 215 pDCs (Fig. S4C). Comparing modules across our cohort, we noted common response genes 216 (present in 3 or more cell-types) either shared (ISG15, IFIT3, XAF1) or specific (APOBEC3A, 217 *IFI27, STAT1*) to subsets of individuals, suggesting potential core programming and the possibility 218 for the same immune drivers to induce distinct gene responses (Fig. S4D). Finally, to confirm the 219 presence of downstream cytokines from IFN stimulation, we measured MIG (CXCL9) and IP10 220 (CXCL10) levels in plasma at pre-infection, peak viremia, and 9-months post infection (Fig. 2E; 221 Methods). All four individuals demonstrated higher levels of IP10 at peak viremia, and three 222 demonstrated elevated levels of MIG. Together, these data highlight the ability of our approach 223 to ascertain a short, pervasive wave of IFN responses in most peripheral immune cells that 224 coincides with, or precedes, peak viremia in hyper-acute HIV infection. Moreover, we uncover nuanced differences among individuals and cellular subsets in this response, as might be
 expected for an infection associated with diverse clinical courses (e.g., differences in plasma
 viremia; Fig. 1B).

228

Individuals demonstrate diverse, yet coordinated, immune responses during the firstmonth of infection

231 To investigate other groups of temporally similar modules, we next applied fuzzy c-means clustering^{59,60} to the median module scores at each timepoint across all cell types on an individual-232 233 by-individual basis to generate clusters of modules, hereafter referred to as meta-modules (MMs). 234 We subsequently grouped these MMs by temporal shape (Fig. S5 and see Methods for choice of 235 c). MMs represent gene programming in distinct cell types that demonstrate coordinated temporal 236 patterns – here, various cell-types responding simultaneously to infection – enabling us to link 237 discrete transcriptional responses to their propagators. In addition to the aforementioned MM that 238 contained the majority of the IFN response modules (labeled MM3), the only other MM that 239 spanned the majority of cell types was one enriched for ribosomal protein coding genes (labeled MM5, see table S3) – known to indicate cellular guiescence⁶¹. MM5 demonstrated temporal 240 profiles defined by minimum module scores (i.e., significantly downregulated) around peak 241 242 viremia, anti-concordant with the immune activation (i.e., significant upregulation) seen in MM3.

Another MM that shared similar temporal immune responses across individuals was MM1, comprised of responses sustained throughout one-month post-detection. In at least 2 of the 4 individuals studied, we identified sustained response modules with shared genes in CD4⁺ T cells, monocytes, NK cells, CTLs, and proliferating T cells (Fig. 3A-E, see table S5 for overlapping genes). While DCs and B cells also expressed multiple modules within this MM, some modules had low MM membership scores and were excluded (membership < 0.25, labeled with † in Fig. S5) or did not share any genes across individuals (Fig. S6A and Supplementary Text).

As each module within MM1 is distinct, we performed gene set enrichment analyses (see Methods) to discern if, in addition to sharing genes, modules from the same cell type shared functional annotations across individuals (Fig. 3A-E). In every cell type, modules across individuals were significantly enriched for many of the same underlying pathways (see table S6 for full list), despite slightly variable temporal dynamics and unique gene membership. CD4⁺ T cells expressed genes associated with non-classical viral entry by endocytosis⁶² as well as adhesion, potentially suggesting migration and viral dissemination throughout the body. 257 Monocytes expressed genes associated with antigen presentation and IL-4 signaling (mainly 258 HLA-DR subunits), which may reflect generalized interferon responses, or the potential to 259 promote active T helper and CTL responses. NK cells, CTLs, and proliferating T cells all 260 upregulated genes associated with killing of target cells by perforin and granzyme release, 261 highlighting the joint role of innate and adaptive cells in combating viremia (see Table S5 and Fig. 262 S6B for all shared responses across cell types)^{63,64}. Thus, our results indicate common functional 263 enrichments supported by gene sets that vary across cell types and individuals in response to 264 infection.

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Distinct cell types respond to common and unique upstream drivers induced in infection.

267 To identify common and cell-type specific inducers of these measured transient responses 268 extending past peak viremia, we generated a list of predicted upstream drivers of each module 269 (see Table S6). Selecting highly significant hits in at least two modules, we drew a network of 270 putative upstream drivers (nodes) colored by significance in each cell type with edges connecting 271 nodes with shared enriched genes (Fig. 3F, Fig. S6C, and see Methods). Strikingly, IFN- α and 272 IFN- γ are predicted drivers of these sustained responses for all five cell types even though these 273 modules do not contain the typical ISGs; in chronic HIV infection, prolonged IFN-I stimulation has 274 been shown to maintain viral suppression but also blunt other immune functions in a humanized mouse model^{65,66}. Matching Luminex data confirmed elevated levels of IP-10 and MIG at one-275 276 month post HIV detection (Fig. S6D). IL-15 and IL-2, known to induce T and NK cell proliferation 277 but to lead to defects in chronic infection^{67–69}, were enriched as drivers for all lymphocytes 278 explored. However, they also shared enriched genes with several other interleukins, including IL-279 4, IL-12 (also elevated in plasma, see Fig. S6D), and IL-21. Interestingly, only CD4⁺ T cell modules 280 were enriched for TNF, IL-1B, and OSM, suggesting the directed induction of pro-inflammatory T helper cells⁷⁰. Meanwhile, monocytes and NK cells were enriched for CIITA and EBI3 (a subunit 281 of IL-27), which regulate MHC-II and MHC-I genes, respectively^{71,72}. 282

283 We also contextualized observed responses to these upstream drivers temporally by re-284 scoring against enriched genes for each driver. This analysis revealed variable kinetics in the 285 onset, intensity, and length of immune responses across different cell types (Fig. 3G, Fig. S7). 286 We note the following gene-programming upregulation trends in most individuals: CD4+ T cells 287 are active from before peak viremia throughout 3-4 weeks post infection, and CTL and 288 proliferating T cell programs are induced for 2-3 weeks around peak viremia, whereas NK cell 289 and monocyte activity extends throughout the first month of infection.

290 Based on shared cell-type enrichments, genes, and functions, we summarize the 291 multitude of common immune responses displaying sustained gene expression over the course 292 of first month of HIV infection, and their potential drivers, across individuals (Fig. 3H). While the 293 IFN stimulated gene programs do not extend past hyper-acute infection, our data suggest that 294 persistent IFN activation could manifest in different ways in each cell type, leading long-term to 295 previously shown dysfunction partially mediated by IFN in chronic infection⁷³. This analysis also 296 support more complex cytokine interactions - some previously described as synergistic (e.g. IL-297 2 & IL-18⁷⁴) or antagonistic (e.g. IL-6 & IL-27⁷⁵) – occurring in acute infection, and delineates how 298 they may affect various cell types. Though dozens of cytokines are known to elevate in plasma 299 during acute HIV infection⁷⁶, here we present a putative schematic of which cell types they 300 modulate alongside other extracellular proteins and transcription factors active during this time 301 frame. Furthermore, our analysis establishes a blueprint of multi-cellular responses to several 302 stimuli along the course of hyper-acute and acute infection to be edified by application to other 303 pathogens.

304

305 Two instances of temporally similar modules within a cell type discerned by scRNA-Seq

After discovering temporally variant modules in our dataset, we observed a few sets that demonstrated similar temporal response patterns in a given cell type, but were not combined into a single module by our framework. We thus sought to understand how these modules might be linked by looking across single cells for module co-expression. Here, single-cell expression data are essential to distinguish response circuitry among cells.

311 The clearest example of multiple modules being co-expressed with the same temporal 312 pattern in the same cell type from our analysis was the NK activated M3 module (CCL3, CCL4, 313 CD38) and the cytotoxic M4 module (PRF1, GZMB, HLA-A) in P3 (Fig. 3D), both part of MM1. 314 Enrichment analysis demonstrated little overlap between the significant pathways associated with 315 these modules, implying orthogonal biological function. We therefore investigated whether the 316 gene programs for these modules were highly co-expressed in the same single cells and thus co-317 varied among single cells across time (Fig. S8A). While we did not observe differential 318 simultaneous upregulation of these modules between time points, we found variation in the 319 correlation of cell-scores for the pair as a function of time across single cells, with the strongest 320 correlation one to two weeks after HIV detection (Fig. S8B). Variation in the correlation of M3 and 321 M4 may reflect a synergizing of these gene programs⁷⁷ within NK cells to combat HIV as viremia 322 declines post peak.

323 In examining MM3 (Fig. S5) – containing the majority of the IFN response modules – we 324 observed that P3 also exhibited a set of temporally similar modules in monocytes (M1 & M3); 325 however, these modules did not variably correlate in expression score as a function of time. 326 Instead, these gene programs were highly co-expressed but only at HIV-detection (Fig. S8C-D). 327 Gene set analysis readily demonstrated that monocyte M1 consisted of IFN response genes, 328 while M3 was enriched for genes associated with inflammation (Fig. S8E). IFN has been shown 329 to stunt the production of pro-inflammatory cytokines in monocytes similar to the phenotype 330 observed in these cells in viremic persons^{78,79}, but the co-expression of anti-viral and pro-331 inflammatory signals in the same single cells has not yet been described to our knowledge. As 332 these module scores are generated independently for each single cell, individual monocytes in 333 this person at the time of HIV detection are simultaneously expressing both anti-viral and 334 inflammatory gene programs. Critically, our longitudinal granular, single-cell approach facilitates 335 the study of variation in gene module correlation and co-upregulation, suggesting key cellular 336 circuitry, and its coordination, during response to infection.

337

338 One individual who naturally controls infection displays a polyfunctional subset of 339 monocytes at HIV detection

340 Intriqued by the appearance of these polyfunctional monocytes in one individual, we next 341 explored whether the other individuals assayed developed similar cells after infection. Scoring 342 monocytes from each individual on inflammatory and anti-viral gene lists derived from discovered 343 modules (Fig. S9A), we were unable to identify these polyfunctional monocytes in the other three 344 individuals (Fig. 4A-B, Fig. S9B-C). In fact, looking at structured gene variation in monocytes over 345 time in principal component analysis (PCA) space revealed that the major axis of variation (PC1) 346 in P1 and P2 not only reflected sample timepoint, but also separated monocytes based on their 347 expression of anti-viral and inflammatory genes. In P3 and P4, however, these gene programs 348 contributed to different principal components, suggesting their independence in defining 349 monocyte phenotype.

In all four individuals, we saw dramatic structuring of the monocytes in PC space by time. Specifically, monocytes sampled at HIV detection (0 weeks) or 1-week post-detection were strongly separated along either PC1 or PC2, indicating a pervasive hyper-acute response to infection. Interestingly, non-classical monocytes (see Fig. S1D and Table S2), which may be more susceptible to infection⁸⁰, displayed disparate temporal dynamics across individuals, even though they drove significant variation in PCA space (Fig. S9D). Comparing DE genes at these peak 356 response timepoints (vs. pre-infection) highlighted not only the specificity of the co-357 inflammatory/anti-viral monocytes to P3, but also other person specific differences in monocyte 358 phenotype (Fig. 4C). Gene set analysis on upregulated genes in each individual confirmed that 359 monocytes in all individuals produced strong anti-viral factors (e.g., RIG-I, APOBEC3B, MX1) with 360 significant enrichment (MHC hypergeometric test, q < 0.001) for response to IFN- α and IFN- γ (Fig. 361 4D). Moreover, corroborating the scoring on inflammatory genes, only P2 and P3 were 362 significantly enriched for inflammatory responses, and only P3 for TNF signaling via NF-kB (MHC 363 hypergeometric test, q<0.001). In fact, P1 and P2 demonstrated downregulation of genes 364 associated with inflammation compared to pre-infection.

365 Subsequently, we investigated known clinical parameters in our cohort for features of 366 infection that might be related to the appearance of these polyfunctional cells. As the level of viral load in chronic infection correlates with disease outcome²⁸, we compared the viral load setpoints 367 368 of these individuals at 1.8, 2.3, and 2.75 years after HIV detection. Two of the four individuals (P3 369 & P4) maintained low levels of viremia (< 1,000 viral copies (vc)/mL) out to 2.75 years in the 370 absence of ART (Fig. 4E). HIV infected persons who naturally maintain low levels of viremia in 371 chronic infection (controllers) have been shown to have enhanced immune responses in chronic infection^{7,81,82}. However, whether early events in acute HIV infection reflect or contribute to long-372 373 term control is unknown. In the hyper-acute monocyte responses (Fig. 4C), we found a small set 374 of upregulated genes shared only by P3 and P4, including SLAMF7, whose activation was 375 recently described to downregulate CCR5 on monocytes and reduced their infection capacity by 376 HIV⁸³, suggesting a potential difference in monocyte susceptibility and phenotype in these 377 individuals during hyper-acute infection. Moreover, referring back to the initial cell type clustering 378 of our data (Fig. S1), we noted that the peak response monocytes in P3 (0 weeks) clustered 379 separately from other monocytes, and that P4 made up >75% of the anti-viral monocytes detected 380 at 1-week post-infection. Identifying a potential correlate of future viral control otherwise obscured 381 by bulk transcriptomics and sparse longitudinal sampling, we next searched for other unique 382 immune responses enriched in either or both of the two controllers.

383

Future controllers exhibit higher frequencies of proliferating CTLs and a precocious subset of NK cells before traditional HIV-specific CD8+ T cells

As CD8+ T cells are known to play a part in controlling chronic HIV infection^{82,84,85}, we turned to the CTLs in our study to look for differences between the individuals who controlled infection long-term and those who did not. Through our module discovery approach, we found that CTLs produced increasing levels of *PRF1* and *GZMB* along the course of hyper-acute
infection (Fig. 3C). Further unsupervised and directed approaches did not elucidate meaningful
or significant differences in CTL responses across individuals by outcome of viral control (Fig.
S10A-B and Table S7).

393 Recently, we demonstrated that, in most individuals in the FRESH study, a majority of proliferating CTLs in hyper-acute infection are HIV-specific by tetramer staining⁸⁶. Therefore, we 394 395 turned to the proliferating T cells in our study to look for differences in response based on long-396 term viral control. En masse, the proliferating T cells expressed similar levels of cytotoxic genes 397 as non-proliferating CTLs (Fig. S10C). DE analysis highlighted genes associated with cell-cycle 398 (e.g. STMN1, HIST1H1B, MKI67) and memory (e.g. IL7R, KLRB1) (see Fig. S10D and table S7) 399 for proliferating and non-proliferating CTLs, respectively. While sparsely detected due to the 400 method of library construction in Seq-Well, we did measure a limited number of TCR variable 401 genes in the proliferating CTLs (Fig S10E). In fact, we note enrichment of TRBV and TRAV genes 402 known to construct prevalent CDR3 sequences that bind common HIV epitopes^{87,88}: TRBV28 $(QW9/FL8/KF11/KK10/NV9, \chi^2 \text{ test } p=2.4*10^{-26}), TRAV4 (KK10, \chi^2 \text{ test } p=3.5*10^{-6}), and TRBV20-$ 403 1 (KK10/KF11/GY9/NV9, χ^2 test p=0.059). Our single-cell data here expand our recently 404 405 published bulk RNA-Seq data on HIV-specific CTLs in this cohort⁸⁹, but also enable us to elucidate 406 heterogeneity in this proliferating cytotoxic response as a function of time.

Grouping proliferating T cells with the other CTLs, we sought to understand if these two controllers demonstrated differences in the frequency of proliferating T cells among the total CTL pool over time. Strikingly, both controllers (P3 & P4) displayed much higher frequencies of proliferating T cells within the first month of infection (Fig. 5A). While all four individuals developed proliferating T cells at 1-week post HIV detection, P3 and P4 exhibited a higher fraction of these cells 1 week after HIV detection (30-40%).

413 We next utilized unsupervised analyses to explore differences in proliferating T cell 414 responses over time among individuals (Fig. 5B, Fig. S10F). Proliferating T cells captured at 1-415 week post-infection strongly separated in PCA across both PC1 and PC2 (p < 0.001). Clustering 416 over all proliferating T cells (see Methods), we identified four clusters of cells with distinct gene 417 programs (see Fig. 5C and table S7): traditional CD8+ T cells (1-red), hyper-proliferative CD8+ T 418 cells (2-green), naïve CD4+ T cells (3-cyan), and a subset of cells that is CD8- but TRDC+ and 419 FCGR3A+ (CD16) (4-lilac). A recent scRNA-Seq study on cytotoxic innate-ness looked at 420 cytotoxic $\gamma\delta T$ and NK cells in healthy humans, noting basal levels of *TRDC* in both cell-types²¹. 421 To determine whether these TRDC⁺CD16⁺ cells were $\gamma\delta T$ or NK cells, we scored them, as well as

422 non-proliferating CTLs and NK cells, against gene signatures described in that study (Fig. S10G). 423 Based on score similarity to NK cells, and the relative down-regulation of CD3 compared to the 424 other proliferating Т cell subsets (Wilcoxon rank sum test: CD3D: 425 $\log(FC) = -0.895$, $q = 2.7 \times 10^{-42}$; CD3G: $\log(FC) = -0.923$, $q = 8.9 \times 10^{-37}$), we determine cluster 4 426 (lilac) to be proliferating NK cells. Looking at the distribution of timepoints within each of these 427 clusters, this NK cluster (4-lilac) contained the highest proportion of cells assayed at HIV detection 428 and 1 week thereafter (Fig. 5D,E). Within these earliest proliferating NK cells, the majority were 429 detected from P3 and P4. Together, these data suggest that individuals who go on control HIV 430 infection without ART exhibit a subset of proliferative, cytotoxic NK cells before the majority of 431 HIV-specific CD8+ T cells arise. Thus, investigating the classically induced cytotoxic cells in viral 432 infection on a single-cell level revealed unappreciated heterogeneity in the anti-viral response, 433 implicating innate immune responses in controlling infection.

434

435 **Discussion**

436 Here we have applied both unsupervised and directed approaches to a unique longitudinal 437 human infection data set to characterize conserved immune response dynamics, as well as early 438 cellular events associated with the individuals studied here who go on to control infection without 439 treatment. Sampling prior to and immediately upon HIV infection, we assayed longitudinal PBMC 440 samples in four individuals from a prospective cohort, the FRESH Study^{16,17} using Seq-Well¹⁸. 441 This systems-level approach revealed parameters shared across all cell types examined (e.g., 442 response to IFN), as well as subtle variations among cellular types and individuals missed in 443 previous bulk studies of infection. Further, it defined cell-type specific responses (e.g., 444 inflammatory induction of CD4+ T cells), and their interaction dynamics following infection. 445 Moreover, leveraging the resolution and high-throughput capability of scRNA-Seg methods, we 446 were able to uncover previously unappreciated cellular features in the PBMCs of two individuals 447 who went on to control infection naturally, including subsets of poly-functional monocytes and 448 proliferating NK cells limited to hyper-acute infection, that may correspond to better infection 449 outcome.

To systematically identify immune cells responding with similar temporal dynamics, we adapted WGCNA^{26,27} (Fig. 2A and see Methods) to discover modules of genes that significantly changed in expression within a given cell type over time. Cellular responses to infection can happen on the order of hours to days; therefore, even with the biweekly HIV testing in the FRESH Study, we anticipated these individuals would not align immune responses in absolute time. After 455 applying our module analysis, the strongest and most pervasive module across cell types and all 456 individuals assayed was the interferon induced anti-viral response (Fig. 2D). While known to be a key factor in controlling HIV replication^{30,65} and the major response in NHP SIV infection 457 458 models^{52,90}, the timing of response and extent to which it pervades all peripheral cell subsets in 459 humans has not yet been described. Of note, both controllers (P3 & P4) exhibited interferon 460 response modules the week before peak viremia, consistent with the faster resolution of interferon 461 response in natural SIV hosts compared to non-natural hosts^{46–49}. Moreover, multiple modules from P3 & P4 uniquely contained APOBEC3A, shown to restrict HIV infection in myeloid cells⁹¹, 462 and IFITM1 and IFITM3 which can inhibit HIV translation in transfected cells in-vitro⁹². 463

464 Due to our ability to determine enriched modules within individual cells, we were able to 465 unveil a second layer of regulation, which might otherwise be drowned out by the overwhelming 466 IFN signature (Fig. 3F-H). This highlighted putative upstream drivers that are unique to CD4+ T 467 cells, monocytes, NK cells, or shared amongst many cell types. Downstream genes (many 468 shared) were significantly enriched for many known drivers of lymphocyte proliferation, 469 emphasizing the presence of mounting large cytotoxic responses in more than just HIV-specific 470 CD8⁺ T cells during acute infection. Some of these molecules were also upstream of CD4⁺ T cells, potentially increasing their susceptibility to infection (IL-15)⁶⁹ and inducing maturation (IL-2)⁶⁷ and 471 differentiation (IL-4)⁹³. Cell-type specific drivers, like IL-1B & TNF upstream of CD4+ T cells, also 472 473 suggest T helper subset differentiation during this time frame⁷⁰. However, the functional capacity 474 of CD4⁺ T cells to coordinate productive CD8⁺ T cells during hyper-acute HIV infection has yet to 475 be tested. Though we did not ascribe the relationships between all cell types and their immune 476 modulators, this integrated multi-cellular analysis lays the foundation for future characterization 477 of the complex, dynamic immune responses to an infection. A potential method to pinpoint the 478 effects of the various cytokines produced in acute infection might utilize *in-vitro* assays that couple 479 PBMCs from healthy individuals with and without autologously HIV infected CD4+ T cells.

480 Empowered by our single-cell resolution and cognizant of the role HIV-specific T cells play in long-term control^{82,84,94}, we were intrigued to find not only higher frequencies of proliferating 481 482 CTLs in P3/P4, but also the presence of a subset of a previously unappreciated proliferating NK 483 cells preceding the well-described HIV-specific responses (Fig. 5C-E), given the multi-faceted role of NK cells in viral control⁶⁴. Assaying cells from controllers *in-vitro* showed that NK cells were 484 equivalent to CD8⁺ T cells in inhibiting viral replication⁹⁵; however recent work has demonstrated 485 CD11b⁺CD57⁻CD161⁺Siglec-7⁺ NK cells to be more abundant in elite controllers compared to 486 those who progress⁹⁶. The proliferating NK cells measured here also express high levels of 487

CD161 (*KLRB1*), associated with the production of IFN- γ in response to IL-12 and IL-18⁹⁷. Antigen 488 489 specific expansion of cytotoxic NK cells has been shown to occur in hCMV^{98,99}, hantavirus¹⁰⁰, and 490 SIV¹⁰¹ as a "memory-like" response; however, we do not measure changes in NKG2C (*KLRC1*) 491 here. Lacking the opportunity to assay previous viral exposure in these individuals, we cannot 492 comment on whether these cells might be proliferating in response to a previously encountered 493 antigen from HIV or a similar retrovirus. We hypothesize that a similar phenotype of proliferating 494 NK cells may arise in response to re-encountering antigen after early ART. To test this, one could 495 examine the killing capacity of NK and CD8⁺ T cells *in-vitro* from individuals treated at various 496 stages of acute and chronic infection, given sample availability.

497 Collectively, our single-cell transcriptional study of hyper-acute and acute HIV infection in 498 FRESH provides several key insights into the dynamics of host-immune responses to infection 499 on a systems-level. It also affords a key reference data set for studying the earliest moments of 500 viral infection after detection. While limited sample availability and the inability to recreate a 501 prospective study like this (since immediate ART is now standard of care) preclude strong 502 associations with clinical parameters across individuals, we are able to nominate potential early 503 responses that may inform long-term viral control and thus guide HIV vaccine efforts. Although 504 preliminary, many of these observations can be validated in NHP models via proper selection of 505 natural and unnatural hosts/virus strains. Future work in FRESH will seek test the effects of early 506 administered ART on these longitudinal HIV response dynamics, while work in other viral and 507 bacterial infections in additional human cohorts will enable assessment of the broad utility of the 508 methods and features described here.

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810 SUPPLEMENTARY INFORMATION

811 Methods, supplementary discussion, and supplementary figures can be found in the 812 Supplementary Information file. Supplementary tables are available upon request from the 813 corresponding author: <u>shalek@mit.edu</u>.



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Fig. 1: Longitudinal profiling of peripheral immune cells in hyper-acute and acute HIVinfection by single-cell RNA-sequencing. (**A**) Representation of the typical trajectory of HIV viral load in the plasma during hyper-acute and acute HIV infection, and the timepoints sampled in this study. Since participants are tested twice weekly, there is an uncertainty of up to 3 days in where on the viral load curve the first detectable viremia occurs. The exact days sampled are available in table S1. (**B**) Viral load and CD4 T cell count for the four individuals assayed in this study. Dotted lines indicate a missing data point for the metric. (**C**) tSNE analysis of PBMCs from

all individuals and timepoints sampled (n=65,842). Cells are annotated based on differential expression analysis on orthogonally discovered clusters. (**D**) tSNE in **C** annotated by timepoint (left) and individual (right). (**E**) Scatter plot depicting the correlation between cell frequencies of CD4+ and CD8+ T cells measured by Seq-Well and FACS. R-squared values reflect variance described by a linear model. * p < 0.05; ** p < 0.01; *** p < 0.001.



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833 Fig. 2: Gene module discovery reveals ubiquitous response to interferon with cell type 834 specific features. (A) Schema depicting temporal gene module discovery (see Methods). This 835 procedure is repeated for each major cell type (monocytes, CD4+ T cells, CTLs, proliferating T 836 cells, NK cells, B cells, plasmablasts, and mDCs) on an individual-by-individual basis. (B) In P1, 837 six gene modules across multiple cell types exhibit similar temporal profiles with peak module 838 scores at the same timepoint as peak viremia is measured. (C) Number of occurrences of genes 839 across the modules in B. (D) Module scores for interferon response modules in each individual. 840 The timepoint where peak viral load occurs is indicated by a dotted line. (E) Luminex 841 measurements of IP10 (left) and MIG (right) in matching plasma samples. Points are averages of 842 duplicate measurements.



845 Fig. 3: Modules with sustained expression conserved among individuals suggest shared 846 and cell type specific drivers of immune response. Module Scores (left), gene overlaps 847 between modules (middle), and enriched pathways for each module (right) in (A) CD4+ T cells, 848 (B) monocytes, (C) CTLs, (D) NK cells, and (E) proliferating T cells. (F) Network of predicted 849 upstream drivers of modules in A-E. Nodes are colored by significance in each cell-type. Edge 850 width and color reflect the number of shared genes (width) in the gene sets of the upstream drivers 851 for a given cell-type (color; see **Methods**). (G) Median gene set scores for significantly temporally 852 variant (p < 0.05) upstream drivers in P1. Scores are grouped by k-means clustering; k=5. (H)

853 Summary table of immune responses to related and distinct stimuli with similar temporal854 dynamics.



856 Fig. 4: One individual who goes on to control infection presents a poly-functional subset 857 of monocytes at HIV detection. (A) Inflammatory and anti-viral scores of monocytes in P3 (left) 858 and P1 (right) derived from gene lists created from merging modules among individuals. Ellipses 859 drawn at 95% confidence interval for cells from each timepoint. (B) Principal component analysis 860 (PCA) of all monocytes from P3 (left) and P1 (right). Density of cells in PC1 vs PC2 space 861 annotated by timepoint are depicted, and the top loading genes for PC1 and PC2 are also 862 annotated. (C) Heatmap of differentially expressed genes between monocytes at the peak 863 response timepoint (0 weeks/1 week) vs pre-infection. Arrows indicate genes specific to P3 (dark-864 brown) and P1 (violet). (D) Enriched pathways for the differentially expressed genes in C, using 865 the MSigDB Hallmark Gene Sets. (E) Viral load by RT-PCR of the plasma of the four individuals 866 assayed out to 2.75 years. Controllers of HIV maintain levels of plasma viremia less than 1,000 867 viral copies (vc)/mL. P1 initiated ART before the 2.3 year timepoint.



870 Fig. 5: Future controllers exhibit higher frequencies of proliferating CTLs and a precocious 871 subset of NK cells 1 week after detection of HIV viremia. (A) Proportion of proliferating T cells 872 of total CTLs as a function of time and individual measured by Seq-Well. (B) PCA of proliferating 873 T cells from all four individuals. Cells assayed from the 1-week timepoint strongly separate along 874 PC1 and PC2; Mann Whitney-U Test, *** p < 0.001. (C) SNN clustering over the top 6 PCs reveals 875 four sub-clusters (left) with distinct gene programs (right). (D) Percentage of cells in each sub-876 cluster by timepoint. (E) Number of cells from each individual within the cells sampled at 0 weeks 877 and 1 week in the NK cell cluster (4-lilac; black box in D).